

MAYUR MEHTA

B.Com. (Hons.), A.C.S., A.C.A., M.A. (Hist.)

PRACTISING COMPANY SECRETARY

RECONCILIATION OF SHARE CAPITAL

AUDIT CERTIFICATE

Due to COVID 19 and the proactive steps taken by the Government of India by declaring a lockdown effective from March 24, 2020 and extending the same from time to time, I have not been able to physically visit the Registered Office of INDUSTRIAL AND PRUDENTIAL INVESTMENT CO. LTD., Kolkata (hereinafter referred to as "the Company") [CIN L65990WB1913PLC218486], and the office of LINK INTIME INDIA PVT. LIMITED, the Company's Registrar and Transfer Agent, Mumbai (hereinafter referred to as "the RTA") to examine the Register of Members, Beneficiary details furnished by the Depositories and other records/documents for the period 01.07.2020 to 30.09.2020 as maintained by the Company and its RTA, for issuing Secretarial Audit Certificate as per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September, 2020.

In view of the above I have relied on the Digital Information provided by the Company and its RTA. In my opinion to the best of my knowledge and according to the information and explanations given to me and the reports and documents received, I hereby certify that the information stated in the attached Share Capital Audit Certificate for the quarter ended 30th September, 2020 is examined and found to be correct.

It is the responsibility of the Management to upload the certificate in XBRL form on the website of BSE Ltd. in accordance with the Listing (Obligations and Disclosure Requirements) Regulations, 2015.

Mayur Mehta
Practicing Company Secretary
Membership No. A 3132
C.P. Number 9952

Place: Mumbai

Date: 13th October, 2020

UDIN A003132B000931796

Office: 901, Rushabh, Jakaria Bunder Cross Road, Sewree (West) Mumbai 400015
Res: 401, 90, Parijat, Dr. M. B. Raut Road (South), Shivaji Park, Mumbai 400015
Tel. Office 24163356/24135466 Cell: 9821735704 Tel Residence 24454177
Email: mayur.cs@mtnl.net.in Alternative Email: mayur2753@gmail.com

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SHARE CAPITAL AUDIT CERTIFICATE

1. For Quarter Ended 30th September, 2020
2. ISIN INE620D01011
3. Face Value Rs.10/-
4. Name of the Company INDUSTRIAL AND PRUDENTIAL INVESTMENT CO. LTD.
5. Registered Office Address Paharpur House, 8/1/B Diamond Harbour Road, Kolkata 700 027
6. Correspondence Address Paharpur House, 8/1/B Diamond Harbour Road, Kolkata 700 027
7. Telephone & Fax Nos. Tel No 03340133000 Fax No 03340133499
8. Email Address contact@industrialprudential.com
9. Name of the Stock Exchanges where the Company's Securities are listed BSE Limited (BSE)

	No. of Equity Shares	% of Total Issued Equity Capital
10. Issued Capital	17,45,340	100%
11. Listed Capital (Exchange wise) (as per Company records)	BSE Ltd. Mumbai 17,45,340	100%
12. Held in Dematerialised form in CDSL	1,41,586	8.11
13. Held in Dematerialised form in NSDL	14,85,869	85.13
14. Physical	1,17,885	6.76
15. Total of No. of Shares (12 + 13 + 14)	17,45,340	100.00
16. Reasons for difference if any, between (10 & 11), (10& 15), (11 & 15)	N.A.	

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17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars** *	No. of Shares	Applied/Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Approval Pending for SE
NIL						

*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify)

18. Register of Members is updated (Yes/No) **Yes**
19. Reference of previous quarter with regard to excess dematerialised Share, if any: **NIL**
20. Has the Company resolved the matter mentioned in point no. 19? **Not Applicable**
21. Mention the Total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons for delay:

Total No. of Demat requests	No. of Requests	No. of Shares	Reasons for delay (As informed by Company)
Confirmed after 21 days	2	0	Letters sent to Registered shareholder towards confirmation of signature and dematerialization of shares
Pending for more than 21 days	0	0	NA

Office: 901, Rushabh, Jakaria Bunder Cross Road, Sewree (West) Mumbai 400015
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- (a) Letters sent to registered shareholder towards confirmation of signature and dematerialization of shares. **Yes**
- (b) Late receipt of physical documents from DP. **Yes**

22. Name, Telephone & Fax No. of the Compliance Officer of the Company
- Mr. Ayan Datta
Compliance Officer
Tel. 03340133173
Fax No. 03340133499
Membership No A 43557
23. Name, Address, Tel. & Fax No., Regn. No. of the Practicing Company Secretary
- Mayur Amritlal Mehta
Company Secretary
901, Rushabh,
Jakaria Bunder Cross Road
Sewree (West) Mumbai 400015
Tel. No. 24135466
24. Appointment of common agency for share registry work, if yes, Name and Address
- Link Intime India Pvt. Limited,
C-101, 247 Park Compound,
L.B.S. Marg,
Vikhroli (W) Mumbai 400083
Tel. 022 - 49186000
25. Any other detail that the auditor may like to provide (e.g. BIFR Company, delisting from SE.)
- NIL

Mayur Mehta

Practicing Company Secretary
Membership No. A 3132

C.P. Number 9952

Place: Mumbai

Date: 13th October 2020

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